



TABLE OF CHARGES
(effective from 15 March 2010)

I. For the conclusion of transactions with shares on the “Bulgarian Stock Exchange - Sofia” AD

Services	Fees and commissions
Contract conclusion	no fee;
Accepting applications for transactions with shares	no fee;
Amending or withdrawing applications for transactions with shares	no fee;
Conclusion of transactions with shares by clients, who have assets at a value up to BGN 10 000 with the investment intermediary	2 % of the transaction value, but not less than BGN 20;
Conclusion of transactions with shares by clients, who have assets at a value from BGN 10 000 to BGN 50 000 with the investment intermediary	1,1 % of the transaction value;
Conclusion of transactions with shares by clients, who have assets at a value from BGN 50 000 to BGN 100 000 with the investment intermediary	0,7 % of the transaction value;
Conclusion of transactions with shares by clients, who have assets at a value above BGN 100 000 with the investment intermediary	0,5 % of the transaction value;
Conclusion of transactions with shares by clients, who are institutional investors or professional clients	subject to agreement;
Conclusion of REPO transactions with shares	0,03 % of the transaction value, but not less than BGN 20;
Conclusion of block transactions with shares	subject to agreement;

II. For the conclusion of transactions with shares outside a regulated market of financial instruments

Services	Fees and commissions
Contract conclusion	no fee;
Accepting applications for transactions with shares	subject to agreement;
Amending or withdrawing applications for transactions with shares	subject to agreement;
Conclusion of transactions with shares	subject to agreement;



III. For the conclusion of transactions with financial instruments via COBOS

Services	Fees and commissions
Contract conclusion	no fee;
Accepting applications for transactions with financial instruments via COBOS	no fee;
Amending or withdrawing applications for transactions with financial instruments via COBOS	no fee;
An annual fee for a certificate for the first year of the contract conclusion	no fee;
An annual fee for a certificate for each subsequent year	BGN 72 per annum;
An annual fee for a certificate for each subsequent year, for a client, who has concluded transactions with financial instruments at a value over BGN 100 000 in the previous year	no fee;
Conclusion of transactions with financial instruments via COBOS	0,20 % of the transaction value + commissions and fees on the Bulgarian Stock Exchange – Sofia AD and Central Depository AD;

IV. For the conclusion of transactions with bonds and government securities, which are traded on “Bulgarian Stock Exchange - Sofia” AD

Services	Fees and commissions
Contract conclusion	no fee
Accepting applications for transactions with bonds or government securities	no fee
Amending or withdrawing applications for transactions with bonds or government securities	no fee
Conclusion of transactions with bonds or government securities at a value up to BGN 5 000	0,3 % of the transaction value;
Conclusion of transactions with bonds or government securities at a value from BGN 5 000 to BGN 20 000	0,2 % of the transaction value;
Conclusion of transactions with bonds or government securities at a value above BGN 20 000	0,1 % of the transaction value;

V. For the conclusion of transactions with bonds and government securities outside a regulated market of financial instruments

Services	Fees and commissions
Contract conclusion	no fee;
Accepting applications for transactions with bonds or government securities	subject to agreement;
Amending or withdrawing applications for transactions with bonds or government securities	subject to agreement;
Conclusion of transactions with bonds or government securities	subject to agreement;

VI. For the management of a client's portfolio

Services	Fees and commissions
Contract conclusion	no fee
Portfolio management at a value from BGN 20 000 to BGN 50 000;	0,4 % of the value of each concluded transaction + 20 % the net positive financial result for the financial year;
Portfolio management at a value from BGN 50 000 to BGN 100 000;	0,4 % of the value of each concluded transaction + 15 % the net positive financial result for the financial year;
Portfolio management at a value over BGN 100 000;	0,4 % of the value of each concluded transaction + 10 % the net positive financial result for the financial year;

VII. For Custodian Services

Services	Fees and commissions
Maintaining accounts for financial instruments or funds of a non-professional client with the investment intermediary	0,1 % of the value of the financial instruments and the client's funds with the investment intermediary for each quarter ;
Maintaining accounts for financial instruments or funds of the persons, specified in Art. 77d of POSA with the investment intermediary	no fee;
Transfer of financial instruments to the investment intermediary	no fee;
Transfer of financial instruments to another investment intermediary	0,5% of the market value of the financial instruments in the day of the transfer per issue, but not less than BGN 20



VIII. For the conclusion of transactions with financial instruments abroad

Services	Fees and commissions
Contract conclusion	no fee;
Accepting applications for transactions with financial instruments	no fee;
Amending or withdrawing applications for transactions with financial instruments	no fee;
Performance of an application for the purchase or sale of shares on the stock exchanges in USA	0,05 USD/share, but not less than 10 USD;
Performance of an application for the purchase or sale of shares on other stock exchanges	2 % of the transaction value, but not less than 20 USD;

IX. For the performance of an activity as a registration agent

Services	Fees and commissions
Contract conclusion	no fee
Transactions with financial instruments concluded between the parties beforehand	1 % of the transaction value, but not less than BGN 20, from each of the parties under the transaction;
A procedure for inheriting donating financial instruments	BGN 50
Issuing a duplicate of a depository receipt	BGN 12,50
A report about the state of the portfolio of individuals	BGN 20
A report about the state of the portfolio of individuals	BGN 80
Change of the personal data	BGN 20

X. For the performance of cash and book operations in BGN

Services	Fees and commissions
Depositing amounts in BGN up to BGN 3 000 daily	no fee;
Depositing amounts in BGN over BGN 3 000 per day	0,1 % on the amount over BGN 3 000;
Withdrawing amounts according to an application in BGN up to BGN 3 000 per day	no fee;
Withdrawing amounts according to an application in BGN over BGN 3 000 per day	0,2 % on the amount over BGN 3 000;



Withdrawing amounts without an application in BGN up to BGN 3 000 per day	0,5 % of the amount for withdrawal;
Withdrawing amounts without an application in BGN over BGN 3 000 per day	BGN 15 + 0,2 % on the amount over BGN 3 000;
Performance of an outgoing transfer via RINGS	BGN 10;

XI. For the performance of cash operations in foreign exchange

Services	Fees and commissions
Depositing amounts in foreign exchange	0,15 % on the amount, minimum 0,5 EUR, maximum 100 EUR;
Withdrawing amounts in foreign exchange	0,3 % on the amount, minimum 1 EUR, maximum 200 EUR;

XII. For the issuance of reports

Services	Fees and commissions
A report of the movements or balances on the client account for a period of up to 1 month	BGN 10;
A report of the movements or balances on the client account for a period over 1 month	BGN 50;

XIII. For investment banking

Services	Fees and commissions
Placing issues of financial instruments	subject to agreement;
Initial public offering of financial instruments	subject to agreement;
Secondary public offering of financial instruments	subject to agreement;
Tender offer of financial instruments	subject to agreement;
Preparation of an analysis of the financial state of a company	subject to agreement;
Investment consulting	BGN 50 per spent hour;

§ 1. The above-mentioned commissions and fees of INVESTMENT INTERMEDIARY “SOFIA INTERNATIONAL SECURITIES” AD include all commissions and fees on the regulated markets and depository institutions for the conclusion of transactions with financial instruments, except the commissions and fees in the Part III,



§ 2. INVESTMENT INTERMEDIARY “SOFIA INTERNATIONAL SECURITIES” AD reserves the right to change and /or amend the present Tariff, without the need of any preliminary and /or subsequent approval or consent by the clients.

§ 3. The tariff has been approved with a decision according to a protocol from the meeting of the Board of Directors of INVESTMENT INTERMEDIARY “SOFIA INTERNATIONAL SECURITIES” AD, that took place on 18 February 2008 and has been amended with decisions according to a protocol from the meetings of the Board of Director of INVESTMENT INTERMEDIARY “SOFIA INTERNATIONAL SECURITIES” AD, that took place on 11 April 2008 and 28 July 2008 and 29 August 2009.

